

PROFESSIONAL INVESTOR CONFIRMATION

Valor: 44993676

ISIN: LI0449936769

Currency: CHF

Nova Fund – Class CHF

Alternative Investment Fund (AIF) as defined by Liechtenstein law

The Nova Fund (AIF) in the legal form of a trusteeship („Collective Trusteeship“) in accordance with the Alternative Investment Fund Managers Law of December 19, 2012 (AIFML)

I. INFORMATION ON THE PROFESSIONAL INVESTOR:

Surname / Company name	First name	Title	
Street / Street number	ZIP code	Place	State
Phone number	Email-Address		

II. CONFIRMATIONS OF THE PROFESSIONAL INVESTOR

The professional investor, resp. the person, with whom the professional investor has concluded a written asset management agreement pursuant to Art. 154, para. 2, lit. b of AIFML confirms herewith that at the time of signing the conditions pursuant to art. 154 Para. 2 of AIFML are fulfilled.

Professional client is a client who possesses the experience, knowledge and expertise to make its own investment decisions and properly assess the risks that it incurs. In order to be considered a professional client, the client must comply with the following criteria

Categories of client who are considered to be professionals

The following legal entities should all be regarded as professional clients in all investment services and activities and financial instruments for the purposes of the Directive:

1. Entities which are required to be authorized or regulated to operate in the financial markets. The list below should be understood as including all authorized entities carrying out the characteristic activities of the entities mentioned: entities authorized by a Member State under a Directive, entities authorized or regulated by a Member State without reference to a Directive, and entities authorized or regulated by a non-Member State:
 - a. Credit institutions
 - b. Investment firms
 - c. Other authorized or regulated financial institutions
 - d. Insurance companies

- e. Collective investment schemes and management companies of such schemes
 - f. Pension funds and management companies of such funds
 - g. Commodity and commodity derivatives dealers
 - h. Locals
 - i. Other institutional investors.
2. Large undertakings meeting two of the following size requirements on a company basis:
 - a. Balance sheet total: 20'000'000 EUR,
 - b. Net turnover: 40'000'000 EUR,
 - c. Own funds: 2'000'000 EUR.
 3. National and regional governments, public bodies that manage public debt, Central Banks, international and supranational institutions such as the World Bank, the IMF, the ECB, the EIB and other similar international organizations.
 4. Other institutional investors whose main activity is to invest in financial instruments, including entities dedicated to the securitization of assets or other financing transactions.
 5. Clients who may be treated as professionals on request pursuant to Directive 2004/39/EC (Markets in Financial Instruments Directive – MiFID)

III. DATA PROTECTION

It shall be noted that the management company / AIFM processes personal data according to the provisions of the Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data, and repealing Directive 95/46/EC (General Data Protection Regulation) and according to national data protection provisions. For more detailed information, see our data protection declaration on www.scarabaeus.li.

IV. BENEFICIAL OWNER INFORMATION

- I/We herewith confirm that I/we am/are the beneficial owner(s) of the assets to be invested.
- I/We herewith confirm that I/we am/are NOT the beneficial owner(s) and specify hereinafter name and address and add all the required documents (statement).

Surname / Company name	First name	Title	
Street/street number	ZIP code	Place	State
Phone number	Email-Address		

V. DECLARATIONS

I/We herewith declare that:

I/We took note of the content of the constituent documents and agree with them;

- a) I/We am/are aware that purchase orders can be postponed or rejected;
- b) I/We am/are aware of the conditions on the issue and redemption of units as specified in the prospectus and the trust agreement;
- c) I/We am/are aware of the restrictions specified in the prospectus and the trust agreement concerning the sale of units, in particular those relating to the sale thereof in the United States or to citizens or persons domiciled in the United States, and confirm that these conditions do not apply;
- d) I/We accept the risks as specified in the prospectus and the trust agreement;
- e) That, in the event of a new custody account being opened in advance, I/we agree that I/we will acquire units only if the custody account has been validly established in accordance with statutory due diligence provisions.

Place, date	Signature of the professional investor ¹

Notice: The beneficial owner information remains at the principal bank of the investor and shall not be submitted to the depository.

¹ The subscription certificate is issued by the professional investor or the person with whom the professional investor has a written asset management agreement pursuant to Art. 154, para. 2, lit. b AIFML.

SUBSCRIPTION CERTIFICATE TO THE PRINCIPAL BANK

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INSTRUCTIONS TO MY/OWER PRINCIPAL BANK:

I/We hereby authorize:

To subscribe on my/our behalf units at the price set forth in the prospectus and the trust agreement. The minimum subscription amount is CHF 50'000 or the equivalent plus the issuing commission as referred to in Annex A “Overview of AIF” of the trust agreement:

<input type="checkbox"/>	Subscription amount²	CHF	
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or

<input type="checkbox"/>	Number of units	
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(only for subscriptions via the principal bank) to forward the above subscription order for the purchase of units, subject to the mandatory enclosure of a written confirmation (for wording, see end of document), to the AIF’s transfer agent.

Subscription via AIF’s transfer agent, resp. via the principal bank³:

Bank name		Contact person, resp. department	
Street / Street number		ZIP code	Place / State
Phone number		Email-Address	
Payment from account No.		Booking into safekeeping account No.	

AIF's transfer agent:

Scarabaeus Wealth Management AG, Pflugstrasse 20, 9490 Vaduz, Liechtenstein

Place, date	Signature of the professional investor ³

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2. The units can not be issued in fractions. If it is not possible to allocate units for the investor's entire subscription amount, then the difference will be reimbursed to the investor. The principal bank must be a bank domiciled in the country or abroad, which is subject to appropriate supervision and to Directive 91/308/EEC as amended by Directive 2001/97/EC or equivalent regulation.
 3. The subscription certificate is issued by the professional investor or the person with whom the professional investor has concluded a written asset management agreement pursuant to art. 154, para. 2, lit. B AIFML.

DETAILS FOR TRANSFER:

(Bank)	Kaiser Partner Privatbank AG Herrengasse 23, FL-9490 Vaduz
(Account holder)	Nova Fund
IBAN	LI03 0880 6025 0827 7200 1
BIC	SERBLI22
(Reason for payment)	"Subscription"

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Simultaneously with the forwarding of the below subscription order, the principal bank confirms toward the AIF’s transfer agent that

- a) It is, with respect of the following subscription, in the possession of the original of a legally signed subscription certificate, which indicates that the investor is a professional investor according to the categories in the subscription certificate;
- b) The investor’s confirmation of his position as a professional investor appears plausible.

or

	Subscription amount⁴	CHF	
	Number of units		
	Subscription date		
	Initial subscription Follow-on subscription⁵		

Principal bank name		Contact person, resp. department	
Street / street number		ZIP code	Place
Phone number		Email-Address	

Place, date	Company / Signature of the principal bank

Please forward to the AIF's transfer agent:

Scarabaeus Wealth Management AG, Pflugstrasse 20, 9490 Vaduz, Liechtenstein

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- ⁴ The units can not be issued in fractions. If it is not possible to allocate units for the investor's entire subscription amount, then the difference will be reimbursed to the investor.
 - ⁵ The subscription agent may request proof of the initial subscription.